

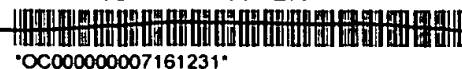
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/989,301	11/19/2001	Matti Puputti	4208-4060

CONFIRMATION NO. 9503

FORMALITIES LETTER



OC00000007161231

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1206.
 - \$450 for 25 total claims over 20.
 - \$756 for 9 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2076.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/15/2002 JADD01 0000056104989301
91 41 0 11 27 104989301
740.00 00
756.00 00
450.00 00
130.00 00
01 FC:101
02 FC:102
03 FC:103
04 FC:105



Docket No. 4208-4060

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Matti PUPUTTI

Group Art Unit: 2661

Serial No.: 09/989,301

Examiner: TBA

Filed: November 19, 2001

For: METHOD AND APPARATUS FOR DYNAMIC PROVISIONING OF IP-BASED SERVICES IN A DVB NETWORK

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION--FILING DATE GRANTED dated December 6, 2001, Applicant(s) submit(s) herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notice to File Missing Parts
- ☒ Check for \$1,946.00 for the filing fee
- ☒ Executed Declaration
- ☒ Check for \$130.00
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4208-4060. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: February 28, 2002

By: Peter N. Fill

Peter N. Fill

Registration No. 38,876

Correspondence Address:

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Docket No. 4208-4060

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Applicant(s): Matti PUPUTTI

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For: **METHOD AND APPARATUS FOR DYNAMIC PROVISIONING OF IP-BASED SERVICES IN A DVB NETWORK**

CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Commissioner for Patents
Washington, D.C. 20231

Sir:

**COPY OF PAPERS
ORIGINALLY FILED**

I hereby certify that the attached:

1. Response To "Notice To File Missing Parts" (in duplicate);
2. Copy of Notice To File Missing Parts of Nonprovisional Application;
3. Check in the amount of \$1,946 for the filing fee;
4. Declaration (6 pages) (executed);
5. Check in the amount of \$130;
6. Petition and Fee for Extension of Time (37 C.F.R. § 1.136(a));
7. Check in the amount of \$110; and
8. Return Receipt Postcard.

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner For Patents, Washington, D.C., 20231.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: February 28, 2002

By: Peter N. Fill

Peter N. Fill
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